

EDITORIAL

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Many of you reading these words will have been attracted by the discussion paper McShane and Wyner (2010), in which case, this may be the first, but hopefully not the last, time you will have read anything in a statistics journal. I would like to take this opportunity to discuss the review process in our journal and to make some comments about the role of statistics and uncertainty assessment in paleoclimatology and the broader debate about climate change.

Most papers that are published in this journal, including McShane and Wyner (2010) are sent by an editor (in this case, me) to an Associate Editor who then seeks the input of one or more referees. The referees write reports giving their opinion of the work, including recommendations for how it might be improved if it were to be published. Taking into account the reports of the referees and his or her own reading of the paper, the Associate Editor generally writes an additional report and makes a recommendation to the editor as to the suitability of the paper for publication in the journal. In addition to synthesizing the opinions of the Associate Editor and the referees, I look through the paper myself and often add my own commentary to those of the other reviewers and make a decision about the publication status of the paper. Even when the recommendation is favorable, we generally request revisions and, in fact, during my term as an editor, I have not accepted a single paper without asking for at least some changes.

When an editor accepts a paper, it does not mean that the journal or the individual editor personally endorses or agrees with it. Indeed, we commonly publish papers that one or more of the reviewers, including the editor, will disagree with in part. Acceptance of a paper reflects our opinion that the work represents a meaningful contribution to applied statistics, broadly construed, and that the authors have made a good faith effort to respond to the concerns of the reviewers.

McShane and Wyner (2010) received a careful reading by two referees, an Associate Editor and myself. All four of us made detailed comments about aspects of the paper that we wanted to see changed before we could recommend publication in *The Annals of Applied Statistics*. The authors undertook an extensive revision of their work and the paper was reviewed

again by all of the original reviewers as well as by Tilmann Gneiting, an incoming editor at the journal and, after additional minor changes, I accepted the paper.

Because of the obvious interest in this paper's subject matter, I decided to make it a discussion paper. After consulting with some members of the editorial board and a few others including the authors, I invited a broad range of individuals with an interest in the topic to contribute discussions. All but one of the people I invited contributed a discussion. In the interest of moving the publication process along and keeping the discussions focused, I gave discussants one month to write their discussion and asked that they keep the text of their discussion (excluding figures and references) to about two pages in length. Many of the discussants could and would have written more lengthy discussions had I permitted it. Unlike papers, discussions and the authors' rejoinder do not generally undergo a detailed review although, in this case, Tilmann Gneiting and I did carefully read through this material and I asked for some changes in presentation or occasional cuts to keep the discussions close to the page limit I imposed. In contrast, the supplementary (online) material provided by the authors and the discussants has not been meaningfully reviewed. I would like to thank the discussants for their impressive (by academic standards) willingness to conform to my requests to keep their discussions short and to submit their discussions on time. I would also like to acknowledge the enormous effort by the authors to write their detailed rejoinder in about two months.

Anyone who reads this paper and the ensuing discussion should realize that there is more to be said about statistical methods for paleoclimate reconstruction. Some of this work will hopefully appear in this journal, so stay tuned. I would encourage those of you who want to work in this area to focus on developing new and better ways for carrying out climate reconstructions using all of the available information rather than rehashing the merits of previous approaches. Several of the discussants make useful recommendations in this regard.

Based on my experiences handling this paper and my other engagements in climate change, I would like to make a few specific and, hopefully, uncontroversial recommendations.

- Greater cooperation between the climatological and statistical communities would benefit both disciplines and be invaluable in the broader public discussion of climate change. There have been great strides made in this regard in recent years, which is reflected in the diversity of affiliations of the discussants and the extent to which they demonstrate their understanding of both statistics and climatology.

Hopefully the present discussion paper will only help to spur further cooperation between the disciplines.

- There is a movement in various disciplines to make all numerical results reported on in published papers reproducible by providing all of the data and code used to generate the results (Diggle and Zeger, 2010; Fomel and Claerbout, 2009; Peng, 2010). One could debate whether this reproducibility is desirable for all research, but it should be a requirement for research that has potentially important public policy implications whenever permissible (e.g., does not violate privacy rules). The authors and those discussants who report numerical results have provided their data and code, all of which is archived at <http://www.imstat.org/aoas/supplements/default.htm>. However, in some circumstances it may be important to provide even further information. In particular, if the data used in a study were subject to any preprocessing and/or selection from a larger database, it may be critical to detail this process. For analyses that entail processing through multiple programs and/or packages, it may be, as the authors note in their rejoinder, quite difficult to provide sufficient information to make the work truly reproducible by a typical user. In some circumstances, authors should report on statistical analyses that were tried out but discarded for various reasons. Of course, it would be unreasonable and unhelpful to ask authors to document every analysis they tried, but when the stakes are sufficiently high, authors could document analyses that were seriously considered but, for whatever reasons, deemed inferior to the published analysis.
- As I get older, I find myself saying many of the same things in every class I teach. One claim I frequently make is that, in terms of what is most important about using statistics to answer scientific questions, data are more important than models and models are more important than specific modes of inference. In the present context, this suggests focusing efforts on the development of new climate proxies and the attendant statistical issues in processing them into usable forms. More broadly, statisticians need to engage the entire climatological community in questions of what raw data to collect and in how to process these data into forms that can be broadly used.

Some of the discussants touch on the broader implications of paleoclimate reconstruction for the study of climate change. I would just like to raise one further issue, again related to something I tend to say in every class I teach: classical statistical hypothesis testing is overused in the scientific literature. I particularly object to the testing of sharp null hypotheses when there is no

plausible basis for believing the null is true. An example of an implausible sharp null hypothesis would be that a large increase in the concentration of CO₂ in the atmosphere has *exactly zero* effect on the global mean temperature. When a null hypothesis of no effect is untenable, emphasis should be on estimation and/or prediction along with uncertainty quantification. Thus, the testing and attribution questions for climate change seem to me to be irrelevant and the focus needs to be on prediction. Seen in this light, paleoclimate reconstructions on a range of time scales are more useful for estimating the effect of various climate forcings (e.g., solar variability, aerosols and trace gases) on the climate than for testing sharp null hypotheses. Appropriate assessment of uncertainties in reconstructions of both the climate and the forcings are, of course, critical to this endeavor.

Statisticians are, by their professional nature, skeptics. We often find that researchers in other fields have not taken proper account of all important elements of uncertainty when they analyze data. However, uncertainty is not a basis for inaction. (If it were, none of us would get out of bed in the morning.) Taking appropriate account of the uncertainties about the future climate, we need to be evaluating the consequences of various courses of action by making the best use of all of our knowledge about climatology and the many other disciplines that bear on the issue. Careful study of tiny pieces of the knowledge base is important, but no single study provides a direct basis for action or inaction. In particular, the presence of even substantial uncertainties does not necessarily mean that the appropriate response is to wait for better information about the future climate. Any potential benefits of waiting depend in part on estimates of how much our uncertainty is likely to decrease over the next several years. My understanding is that the major uncertainties in climate projections on time scales of more than a few decades are unlikely to be resolved in the near future. Thus, while research on climate change should continue, now is the time for individuals and governments to act to limit the consequences of greenhouse gas emissions on the Earth's climate over the next century and well beyond.

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